

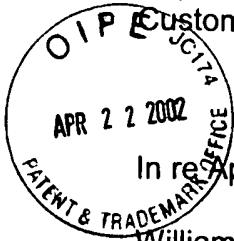
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Atty. Docket No.: 215101.01501

Customer No. 27160

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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of

William S. DALTON et al.

Serial No. 10/024,017

Group Art Unit: 1646

Filed: December 21, 2001

Examiner: Unknown

FOR: COMPOUNDS AND METHODS OF MODULATING CELL-ADHESION  
MEDIATED DRUG RESISTANCE

**INFORMATION DISCLOSURE**  
**STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Honorable Commissioner for Patents  
Washington, D.C. 20231

Sir:

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application. Copies of the listed documents, including any copending patent applications, are attached. A copy of the International Search Report is also attached. Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the

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documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 50-0436.

Respectfully submitted,

Gilberto M. Villacorta, Ph.D.  
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by

  
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Attention Patent Administrator  
KATTEN MUCHIN ZAVIS ROSENMAN  
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Date: April 22, 2002

# INFORMATION DISCLOSURE CITATION

OMB No. 0651-0011

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Applicants William S. DALTON et al.	
Filing Date December 21, 2001	Group: 1646

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U.S. PATENT DOCUMENTS							
Examiner Initials	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate	

TECH CENTER 1600/2900

FOREIGN PATENT DOCUMENTS							
	Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No	

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)	
	Damiano et al., J.S., "Cell Adhesion Mediated Drug Resistance (CAM-DR): Role of Integrins and Resistance to Apoptosis in Human Myeloma Cell Lines," <u>Blood</u> , Vol. 93, No. 5 (March 1999), pp. 1658-67.
	Pennington et al., M.E., "The Use of a Combinatorial Library Method to Isolate Human Tumor Cell Adhesion Peptides," <u>ESCOM Science Publishers: Molecular Diversity</u> , Vol. 2 (1996), pp. 19-28.
	Hazlehurst et al., L.A., "Adhesion to Fibronectin via $\beta 1$ Integrins Regulates p27 <sup>Kip1</sup> Levels and Contributes to Cell Adhesion Mediated Drug Resistance (CAM-DR)," <u>Oncogene</u> , (Nov. 2001), pp. 011/012-003/012.
	DeRoock et al., I.B., <u>Synthetic Peptides Inhibit Adhesion of Human Tumor Cells to Extracellular Matrix Proteins</u> , <u>Cancer Research</u> , Vol. 61 (April 2001), pp. 3308-3313.

Examiner	Date Considered
*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce